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PTO/SB/21 (6-98)
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/942,294	
	Filing Date	8/29/01	
	First Named Inventor	Albert E. Johnstone, III	
	Group Art Unit	2839	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	45	Attorney Docket Number	8041-PA01CP

ENCLOSURES (check all that apply)		
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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Katherine Proctor (Reg. No. 31,468)
Signature	<i>Katherine Proctor</i>
Date	April 09, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the U. S. Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on this date: April 09, 2002			
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of) FOR: SWIVEL JOINT APPARATUS
) AND METHOD FOR UTILITY
ALBERT E. JOHNSTONE III, ET AL.) SUPPLY TO A ROTATABLE
) BUILDING
Serial No.: 09/942,294)
) Group
Filed: August 29, 2001) Art Unit: 2839

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97(c) and 1.98**

U.S. Patent and Trademark Office
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Arlington, VA 22202

Attention: Examiner

Dear Sir:

Applicant submits herewith references of which he is aware, which he believes may be material to patentability of the invention disclosed and claimed in the above-cited application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

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Applicant is submitting herewith copies of the references which are set forth on the attached Form PT/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.

Applicant, by the undersigned attorney, certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Consequently, no fee is required.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Respectfully submitted,

Dated: April 9, 2002

By: Katherine Proctor
Katherine Proctor
Agent for Applicant
Registration No. 31,468

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Docket No.: 8041-PA01CP